

Appendix 3

Table 3

Risks identified below in the table have been ranked from highest to lowest in relation to residual risk.

Risk Ref	Risk Category	Risk Owner	Responsible Officer/s	Risk Appetite	Inherent Risk Score	Risk Description	Movement since June 2024 (Quarter 1)	Residual Risk
SR 18	People	TBC	Karen Jones	Open	25	IF there isn't a sufficient government and industry response to the announcement of the changes at Tata THEN local people and the local economy will be severely impacted.	↔	16
SR 03	Property & Infrastructure	Nicola Pearce	Dave Griffiths	Open	25	IF there isn't an effective management system to address historic Coal Tips and Quarries on council owned land THEN there will be harm to people and the wider community.	↔	15
SR 19	People	Andrew Jarrett	Andrew Jarrett	Open	15	IF there is continued or escalated instability and conflict across the world THEN there are heightened risks of community tensions and additional pressures on an already stretched homeless services.	↔	15
SR 04	People	Noelwyn Daniel	Sheenagh Rees	Open	25	IF the council is unable to recruit and retain appropriately skills and qualified employees in sufficient numbers in light of national and local recruitment shortages THEN service delivery may be adversely impacted and the objectives set out in the Corporate Plan may not be fully realised.	↓	12
SR 02	Property & Infrastructure	Nicola Pearce	Nicola Pearce / Dave Griffiths / Ceri Morris	Open	20	IF action is not taken to respond to the technical surveys showing that there is a risk of landslip at Panteg THEN there could be harm or death caused to people.	↔	12
SR 22	Governance	Andrew Thomas	Hayley Lervy	Cautious	15	IF council, schools and partners do not fulfil responsibilities and fail to comply with statutory duties and timescales as set out in the Additional learning Needs Education Tribunal Wales (ALNET) Act and Code of Practice THEN there is potential for reputational damage and financial pressures.	↔	12

Risk Ref	Risk Category	Risk Owner	Responsible Officer/s	Risk Appetite	Inherent Risk Score	Risk Description	Movement since June 2024 (Quarter 1)	Residual Risk
SR 21	People	Andrew Jarrett	Chele Howard / Ceri Morris	Open	12	IF the continued use of hotel accommodation to discharge the council's statutory homeless duties leads to a 'change of use' in planning terms of such premises, and such use is not assessed and/or regularised, THEN the council will be at risk of legal challenge.	↔	12
SR 06	Financial	Huw Jones	Huw Jones	Open	15	IF there are insufficient capital and revenue resources THEN the council will have to agree priorities, raise council tax and make cuts in jobs and services to deliver a balanced budget.	↓	12
SR 14	Project /Programme	Nicola Pearce	Simon Brennan	Open	15	IF the council does not put in place sufficient capacity and capability THEN developer interest in the county borough will not be realised losing the opportunity to create new sustainable jobs and grow the local economy and opportunities to lever in funding will be lost.	↓	10
SR 01	Property & Infrastructure	Nicola Pearce	Dave Griffiths / Simon Brennan / Mike Roberts	Open	16	IF there is insufficient investment in the council's major infrastructure THEN it is at risk of failure	↔	9
SR 15	People	Andrew Jarrett	Andrew Jarrett	Open	15	IF there are insufficient resources provided to meet the needs of the most vulnerable people in the county borough THEN outcomes for the population will be poor and the council may breach its statutory duties.	↔	9
SR 24	Property & Infrastructure	Nicola Peace	Mike Roberts / Ceri Morris / Dave Griffiths	Open	12	IF we don't prepare for climate change impacts with partner agencies THEN people, property and critical infrastructure may become increasingly susceptible to coastal, fluvial and foul water flooding.	↔	9
SR 08	Reputational	Noelwyn Daniel	Sheenagh Rees	Cautious	12	IF the council fails to comply with the requirements of the Civil Contingencies Act 2004 THEN in the event of a major incident council services may be significantly adversely affected and the council may be in breach of its statutory responsibilities leading to litigation and reputational damage.	↔	9

Risk Ref	Risk Category	Risk Owner	Responsible Officer/s	Risk Appetite	Inherent Risk Score	Risk Description	Movement since June 2024 (Quarter 1)	Residual Risk
SR 10	Financial	Huw Jones	Huw Jones / Heads of Service	Open	9	IF the council does not accurately factor in the demographic profile of its population into its corporate plan and financial planning processes THEN there is a risk that services will be unable to respond to all need appropriately and budgets will not be in balance	↔	9
SR 05	TBC	Andrew Jarrett	Keri Warren	Open	12	IF the council does not manage the safeguarding regime safely THEN significant harm will be caused to children and vulnerable people.	↔	8
SR 09	Security	Noelwyn Daniel	Craig Griffiths / Chris Owen	Cautious	12	IF personal/ sensitive information is unlawfully disclosed THEN there will be major financial penalty and loss of public confidence.	↔	8
SR 07	People	Noelwyn Daniel	Sheenagh Rees	Open	15	IF the council fails to embed a culture of Health and Safety within its workforce THEN accidents and incidents could occur leading to injury and / or death.	↔	6
SR 11	Data/ Information Management	Noelwyn Daniel	Chris Owen	Minimalist	15	IF there is a disruption in operations or unavailability of technology or services, due to high dependency on tightly coupled technology or external environmental factors (such as total power loss, flood, etc.), THEN this would have a significant impact on service delivery across the council	↔	5
SR 12	Security	Noelwyn Daniel	Chris Owen	Cautious	15	IF there is a lack of protection around the digital and data ecosystem, THEN there is a risk to ensuring confidentiality and integrity of the technology systems and safeguarding of data.	↔	5
SR 16	Governance	Noelwyn Daniel	Craig Griffiths	Cautious	15	IF officers and members do not adhere to appropriate steps to be undertaken when making decisions, THEN the council may be challenged on making unlawful decisions which could cause reputational damage.	↔	3
SR 25	Property & Infrastructure	Nicola Pearce	Simon Brennan / Brett Suddell / Chris Jones	Open	9	IF the council does not invest sufficient time, money and effort into decarbonisation, or appropriate technical solutions are not available, THEN we will not achieve 'net zero' target aspirations.	↔	3

Closed Risks

- SR 13 had been de-escalated to Social Services, Health and Housing Directorate Risk Register.
- SR 17 has been de-escalated to Education, Leisure and Lifelong Learning Directorate Risk Register.

Risk Ref	Risk Category	Risk Owner	Responsible Officer/s	Risk Appetite	Inherent Risk Score	Risk Description	Risk Removed	Residual Risk
SR 13	People	Andrew Jarrett	Claire Jones / Chris Frey-Davies	N/A	15	IF the situation in Ukraine escalates and government planning is insufficient to cater for the numbers of refugees arriving in NPT THEN there is a risk that local public services and budgets will be overwhelmed.	October 2023	15
SR 17	Governance	Andrew Thomas	Chris Millis	N/A	15	IF schools are not ready to implement the changes needed to implement curriculum reform and if there is a reduction or withdrawal of grants that support curriculum reform THEN the council will not be able to comply with statutory duties and realise the benefits for learners.	May 2024	5

Removed from Strategic Risk Register

- SR 20 had been removed as the information is contained within SR 06 Capital and Revenue Finances.
- SR 23 has been removed as schools buildings are included within SR 01 Infrastructure.

Risk Ref	Risk Category	Risk Owner	Responsible Officer/s	Risk Appetite	Inherent Risk Score	Risk Description	Risk Removed	Residual Risk
SR 20	Financial	Huw Jones	Andrew Thomas	N/A	25	IF the Welsh Government's In-year Statement is that it has a £900m shortfall in its 2023-2024 revenue budget this will result in a much lower settlement for local government THEN cuts to services and jobs and high council tax levels will follow	May 2024	20
SR 23	Property & Infrastructure	Andrew Thomas	Rhiannon Crowhurst	Open	15	IF there is critical failure of Education, Leisure and Lifelong Learning buildings THEN the council will be unable to maintain business continuity.	Oct 2024	6